

INFORMATION DISCLOSURE CITATION Form PTO 1449 (modified) (Use several sheets if necessary)	ATTY. DOCKET NO. GUID-010CON2	SERIAL NO. Not Yet Assigned
	APPLICANT Hu et al.	
	FILING DATE Herewith	GROUP Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
CEB	A1	452,131	05/12/1891	Haughawout			
CEB	A2	810,675	01/23/06	Richter			
CEB	A3	1,706,500	03/26/29	Smith			
CEB	A4	2,296,793	09/22/42	Kirschbaum			
CEB	A5	2,590,527	03/25/52	Fluck			
CEB	A6	2,693,795	11/09/54	Grieshaber			
CEB	A7	2,863,444	12/09/58	Winsten			
CEB	A8	3,392,722	07/16/68	Jorgensen			
CEB	A9	3,683,926	08/15/72	Suzuki			
CEB	A10	3,720,433	03/13/73	Rosfelder			
CEB	A11	3,783,873	01/08/74	Jacobs			
CEB	A12	3,858,926	01/07/75	Ottenhues			
CEB	A13	3,882,855	05/13/75	Schulte et al.			
CEB	A14	3,983,863	10/05/76	Janke et al.			
CEB	A15	4,047,532	09/13/77	Phillips et al.			
CEB	A16	4,048,987	09/20/77	Hurson			
CEB	A17	4,049,000	09/20/77	Williams			
CEB	A18	4,049,002	09/20/77	Kletschka et al.			
CEB	A19	4,052,980	10/11/77	Grams et al			
CEB	A20	4,226,228	10/07/80	Shin et al.			
CEB	A21	4,230,119	10/28/80	Blum			
CEB	A22	4,306,561	12/22/81	de Medinaceli			
CEB	A23	4,366,819	01/04/83	Kaster			
CEB	A24	4,368,736	01/18/83	Kaster			
CEB	A25	4,421,107	12/20/83	Estes et al.			
CEB	A26	4,428,368	01/31/84	Torii			
CEB	A27	4,434,791	03/06/84	Darnell			
CEB	A28	4,461,284	07/24/84	Fackler			
CEB	A29	4,492,229	01/08/85	Grunwald			

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CLO	A30	4,617,916	10/21/86	LeVahn et al.					
CLO	A31	4,627,421	12/09/86	Symbas et al.					
CLO	A32	4,637,377	01/20/87	Loop					
CLO	A33	4,646,747	03/03/87	Lundback					
CLO	A34	4,688,570	07/25/87	Kramer et al.					
CLO	A35	4,702,230	10/27/87	Pelta					
CLO	A36	4,718,418	01/12/88	L'Esperance, Jr.					
CLO	A37	4,726,356	02/23/88	Santilli et al.					
CLO	A38	4,726,358	02/23/88	Brady					
CLO	A39	4,736,749	04/12/88	Lundback					
CLO	A40	4,747,395	05/31/88	Brief					
CLO	A41	4,754,746	07/05/88	Cox					
CLO	A42	4,803,984	02/14/89	Narayanan et al.					
CLO	A43	4,808,163	02/28/89	Laub					
CLO	A44	4,829,985	05/16/89	Couetil					
CLO	A45	4,852,552	08/01/89	Chaux					
CLO	A46	4,854,318	08/08/89	Solem et al.					
CLO	A47	4,863,133	09/05/89	Bonnell					
CLO	A48	4,865,019	09/12/89	Phillips					
CLO	A49	4,884,559	12/05/89	Collins					
CLO	A50	4,925,443	05/15/90	Heilman et al.					
CLO	A51	4,949,707	08/21/90	LeVahn et al.					
CLO	A52	4,955,896	09/11/90	Freeman					
CLO	A53	4,962,758	10/16/90	Lasner et al.					
CLO	A54	4,971,037	11/02/90	Pelta					
CLO	A55	4,973,300	11/27/90	Wright					
CLO	A56	4,989,587	02/05/91	Farley					
CLO	A57	4,991,578	02/12/91	Cohen					
CLO	A58	4,993,862	02/19/91	Pelta					
CLO	A59	5,009,660	04/23/91	Clapham					

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CLO	A60	5,011,469	04/30/91	Buckberg et al.					
CLO	A61	5,025,779	06/25/91	Bugge					
CLO	A62	5,036,868	08/06/91	Berggren et al.					
CLO	A63	5,037,428	08/06/91	Picha et al.					
CLO	A64	5,052,373	10/01/91	Michelson					
CLO	A65	5,053,041	10/01/91	Ansari et al.					
CLO	A66	5,080,088	01/14/92	LeVahn					
CLO	A67	5,098,369	03/24/92	Heilman et al.					
CLO	A68	5,119,804	06/09/92	Anstadt					
CLO	A69	5,131,905	07/21/92	Groff et al. Heilman et al.					
CLO	A70	5,133,724	07/28/92	Wilson, Jr. et al.					
CLO	A71	5,159,921	11/03/92	Hoover					
CLO	A72	5,167,223	12/01/92	Koros et al.					
CLO	A73	5,171,254	12/15/92	Sher					
CLO	A74	Re 34,150	12/29/92	Santilli, deceased, et al.					
CLO	A75	5,231,974	08/03/93	Giglio et al.					
CLO	A76	5,287,861	02/22/94	Wilk					
CLO	A77	5,293,863	03/15/94	Zhu et al.					
CLO	A78	5,300,087	04/05/94	Knoepfler					
CLO	A79	5,318,013	06/07/94	Wilk					
CLO	A80	5,382,756	01/17/95	Dagan					
CLO	A81	5,383,840	01/24/95	Heilman et al.					
CLO	A82	5,417,709	05/23/95	Slater					
CLO	A83	5,437,651	08/01/95	Todd et al.					
CLO	A84	5,452,733	09/26/95	Sterman et al.					
CLO	A85	5,467,763	11/21/95	McMahon et al.					
CLO	A86	5,498,256	03/12/96	Furnish					
CLO	A87	5,503,617	04/02/96	Jako					

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<i>CEO</i>	A88	5,509,890	04/23/96	Kazama					
<i>CEO</i>	A89	5,512,037	04/30/96	Russell et al.					
<i>CEO</i>	A90	5,514,075	05/07/96	Moll et al.					
<i>CEO</i>	A91	5,514,076	05/07/96	Ley					
<i>CEO</i>	A92	5,520,610	05/28/96	Giglio et al.					
<i>CEO</i>	A93	5,529,571	06/25/96	Daniel					
<i>CEO</i>	A94	5,547,458	08/20/96	Ortiz et al.					
<i>CEO</i>	A95	5,571,215	11/05/96	Sterman et al.					
<i>CEO</i>	A96	5,573,496	11/12/96	McPherson et al.					
<i>CEO</i>	A97	5,607,421	3/4/97	Jeevanandam et al.					
<i>CEO</i>	A98	5,607,446	03/04/97	Beehler et al.					
<i>CEO</i>	A99	5,613,937	03/25/97	Garrison et al.					
<i>CEO</i>	A100	5,713,951	02/03/98	Garrison et al.					
<i>CEO</i>	A101	5,727,569	03/17/98	Benetti et al.					
<i>CEO</i>	A102	5,728,151	03/17/98	Garrison et al.					
<i>CEO</i>	A103	5,730,757	03/24/98	Benetti et al.					
<i>CEO</i>	A104	5,749,892	05/12/98	Vierra et al.					
<i>CEO</i>	A105	5,755,660	05/26/98	Tyagi					
<i>CEO</i>	A106	5,772,583	06/30/98	Wright et al.					
<i>CEO</i>	A107	5,782,746	07/21/98	Wright					
<i>CEO</i>	A108	5,795,291	08/18/98	Koros et al.					
<i>CEO</i>	A109	5,799,661	09/01/98	Boyd et al.					
<i>CEO</i>	A110	5,807,243	09/15/98	Vierra et al.					
<i>CEO</i>	A111	5,813,410	09/29/98	Levin					
<i>CEO</i>	A112	5,836,311	11/17/98	Borst et al.					
<i>CEO</i>	A113	5,846,187	12/08/98	Wells et al.					
<i>CEO</i>	A114	5,846,193	12/08/98	Wright					
<i>CEO</i>	A115	5,846,194	12/08/98	Wasson, et al.					
<i>CEO</i>	A116	5,865,730	02/02/99	Fox et al.					

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CW	A117	5,868,770	02/09/99	Rygaard				
CW	A118	5,875,782	03/02/99	Ferrari et al.				
CW	A119	5,876,332	03/02/99	Looney				
CW	A120	5,879,291	03/09/99	Kolata et al.				
CW	A121	5,882,299	03/16/99	Rastegar et al.				
CW	A122	5,885,271	03/23/99	Hamilton et al.				
CW	A123	5,888,247	03/30/99	Benetti				
CW	A124	5,891,017	04/06/99	Swindle et al.				
CW	A125	5,894,843	04/20/99	Benetti et al.				
CW	A126	5,906,607	05/25/99	Taylor et al.				
CW	A127	5,908,382	06/01/99	Koros et al.				
CW	A128	5,927,284	07/27/99	Borst et al.				
CW	A129	5,944,658	08/31/99	Koros et al.				
CW	A130	5,944,736	08/31/99	Taylor et al.				
CW	A131	5,947,125	09/07/99	Benetti				
CW	A132	5,947,896	09/07/99	Sherts et al.				
CW	A133	5,957,835	09/28/99	Anderson et al.				
CW	A134	5,967,972	10/19/99	Santilli et al.				
CW	A135	5,967,973	10/19/99	Sherts et al.				
CW	A136	5,976,080	11/02/99	Farascioni				
CW	A137	5,976,171	11/02/99	Taylor				
CW	A138	5,984,865	11/16/99	Farley et al.				
CW	A139	5,984,867	11/16/99	Deckman et al.				
CW	A140	6,007,486	12/28/99	Hunt et al.				
CW	A141	6,010,531	01/04/00	Donlon et al.				
CW	A142	6,013,027	01/11/00	Khan et al.				
CW	A143	6,015,378	01/18/00	Borst et al.				
CW	A144	6,017,304	01/25/00	Vierra et al.				

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CEP	A145	6,019,722	02/01/00	Spence et al.				
CEP	A146	6,030,340	02/29/00	Maffei et al.				
CEP	A147	6,032,672	03/07/00	Taylor				
CEP	A148	6,033,362	03/07/00	Cohn				
CEP	A149	6,036,641	03/14/00	Taylor et al.				
CEP	A150	Des 421,803	03/21/00	Koros et al.				
CEP	A151	6,050,266	04/18/00	Benetti et al.				
CEP	A152	6,063,021	05/16/00	Hossain et al.				
CEP	A153	6,099,468	08/08/00	Santilli et al.				
CEP	A154	6,102,853	08/15/00	Scirica et al.				
CEP	A155	6,102,854	08/15/00	Carlier et al.				
CEP	A156	6,139,492	10/31/00	Vierira et al.				
CEP	A157	6,183,486	02/06/01	Snow et al.				
CEP	A158	6,190,311	02/20/01	Glines et al.				
CEP	A159	6,193,652	02/27/01	Berky et al.				
CEP	A160	6,200,263	03/13/01	Person				
CEP	A161	6,210,323	04/03/01	Gilhuly et al.				
CEP	A162	6,213,940	4/10/01	Sherts et al.				
CEP	A163	6,213,941	04/10/01	Benetti et al.				
CEP	A164	6,231,506	04/15/01	Hu et al.				
CEP	A165	6,283,912	0904/01	Hu et al.				
CEP	A166	6,290,644	09/18/01	Green, II et al.				
CEP	A167	6,315,717	11/13/01	Benetti et al.				
CEP	A168	6,331,158	12/18/01	Hu et al.				

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
CB	B1	473,451	01/13/15	France				
CB	B2	0 293 760 A2	12/07/88	Europe				
CB	B3	0 293 760 A3	12/07/88	Europe				
CB	B4	0 293 760 B1	12/07/88	Europe				
CB	B5	0 630 629	05/17/94	Europe				
CB	B6	668 058A1	08/23/95	Europe				
CB	B7	0 803 228A1	10/29/1997	Europe				
CB	B8	0 993 806 A2	04/19/00	Europe				
CB	B9	DE 90 04513	06/28/90	Germany				
CB	B10	168,216	09/01/21	United Kingdom				
CB	B11	GB 2 233 561 A	01/16/91	United Kingdom				
CB	B12	GB 2 267 827 A	12/22/93	United Kingdom				
CB	B13	WO 87/04081	07/16/87	PCT				
CB	B14	WO 94/14383	07/04/94	PCT				
CB	B15	WO 94/18881	09/01/94	PCT				
CB	B16	WO 95/01757	01/19/95	PCT				
CB	B17	WO 95/15715	06/15/95	PCT				
CB	B18	WO 95/17127	06/29/95	PCT				
CB	B19	WO 96/00033	01/04/96	PCT				
CB	B20	WO 97/10753	03/27/97	PCT				
CB	B21	WO 97/32514 A2	09/12/97	PCT				
CB	B22	WO 97/32514 A3	09/12/97	PCT				

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COB	B23	WO 97/40752	11/06/97	PCT						
COB	B24	WO 98/27869	07/02/98	PCT						
COB	B25	WO 98/48703	11/05/98	PCT						
COB	B26	WO 98/49947	11/12/98	PCT						
COB	B27	WO 99/08585	02/25/99	PCT						
COB	B28	WO 99/09892	03/04/99	PCT						
COB	B29	WO 99/16367	04/08/99	PCT						
COB	B30	WO 00/06041	02/10/00	PCT						
COB	B31	WO 00/10466	03/02/00	PCT						
COB	B32	WO 00/16367	03/23/00	PCT						
COB	B33	WO 00/42920	07/27/00	PCT						
COB	B34	WO 00/42921	07/27/00	PCT						
COB	B35	WO 00/42935	07/27/00	PCT						
COB	B36	WO 00/42936	07/27/00	PCT						
COB	B37	WO 00/42937	07/27/00	PCT						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

COB	C1	09/345,859 Looney et al. filed on 07/01/99
COB	C2	09/438,670 Parsons, et al. filed on 11/12/99
COB	C3	09/489,274 Brown et al. filed on 01/21/00
COB	C4	60/117,333 Looney et al. (provisional) filed on 01/24/99
COB	C5	Akins, et al., "Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Graft Without Cardiopulmonary Bypass," American Heart Journal, vol. 107, No. 2, February, 1984, pgs. 304-309
COB	C6	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retractor," Ann. Thorac. Surg. 21 (1976) 174
COB	C7	Angelini, G.D., M.D. et al., "Fiber-Optic Retractor for Harvesting the Internal Mammary Artery," Ann. Thorac. Surg. (1990; 50:314-5)

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C8	Angelini, G.D., M.D., "A Simple, Inexpensive Method of Heart Retraction During Coronary Artery Bypass Surgery," Ann. Thora. Surg 46:46-247, Aug 1988
C9	Anstadt, M.D., et al., "Direct Mechanical Ventricular Actuation for Cardiac Arrest in Humans," Chest, vol. 100, no. 1, July 1991
C10	Antinori, C. et al., "A Method of Retraction During Reoperative Coronary Operations Using the Favaloro Retractor," The Society of Thoracic Surgeons: 1989.
C11	Archer, DO, et al., "Coronary Artery Revascularization Without Cardiopulmonary Bypass," Texas Heart Institute Journal, vol. 11, no. 1, March 1984, pgs. 52-57.
C12	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 61:1271-2.
C13	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 62:1884-85.
C14	Ballantyne, M.D., et al., "Delayed Recovery of Severally Stunned Myocardium with the Support of a Left Ventricular Assist Device After Coronary Artery Bypass Graft Surgery," Journal of the American College of Cardiology, vol. 10, no. 3, September 1987, pgs 710-712
C15	Bedellino, M.M., et al., "The Cardiac Rag - Simple Exposure of the Heart," Texas Heart Institute Journal, vol. 15, no. 2, 1988, 134-35.
C16	Beg, R.A., et al., "Internal Mammary Retractor," Ann Thorac, Surg., vol. 39, no. 1, pgs. 286-287, January 1985.
C17	Benetti, et al., "Direct Coronary Surgery with Saphenous Vein Bypass Without Either Cardiopulmonary Bypass or Cardiac Arrest," The Journal of Cardiovascular Surgery, vol. 26, no. 3, May-June, 1985, pgs. 217-222
C18	Benetti, et al., "Direct Myocardial Revascularization Without Extracorporeal Circulation," Chest, vol. 100, no. 2 August, 1991, pgs 312-316
C19	Bonatti, J., et al., "A Single Coronary Artery Bypass Grafting - A Comparison Between Minimally Invasive Off Pump Techniques and Conventional Procedures," European Journal of Cardio-Thoracic Surgery, 14 (Supp. I) (1998) S7-S12.
C20	Borst, et al., "Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass and Without Interruption of Native Coronary Flow Using a Novel Anastomosis Site Restraining Device ("Octopus"), "J Am Coll Cardiol, May 1996, vol. 27, no. 6, pgs. 1356-64
C21	Borst, et al., "Regional Cardiac Wall Immunobilization for Open Chest and Closed Chest Coronary Artery Bypass Grafting on the Beating Heart; 'Octopus' Method," Circulation, October 15, 1995, vol. 92, no. 8, supplement 1, 1-177
C22	British Heart Journal, "Coronary Surgery Without Cardiopulmonary Bypass," pgs. 203-205, 1995.
C23	Buffolo, et al., "Direct Myocardial Revascularization Without Cardiopulmonary Bypass," Thoac. Cardiovasc. Surgeon, 33 (1985) pgs. 26-29
C24	Bugge, M., "A New Internal Mammary Artery Retractor," Thorac. Cardiovasc Surgeon 38, pgs. 316-17 (1990)
C25	Calafiore, A. M., et al., "Minimally Invasive Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery, 62:1545-8, 1996
C26	Campalani et al., "A New Self-Retaining Internal mammary Artery Retractor." J. Cardiovas. Surg., Vol. 28. (1987)

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		GUID-010CON2	Not Yet Assigned
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